

Sets, functions, quantifiers

1 Set notation and concepts

$\{0, 1\}$ a set with two members/elements, 0 and 1

We will often use 0 and 1 for the truth values, *false* and *true*.

$\{0, 1\} = \{1, 0\}$ Members of sets are unordered.

D_e the domain of all individuals (in the model); sometimes also called the *universe*

\emptyset the empty set, $\{\}$

$\{x : x \text{ is a cat}\}$ the set which contains everything in D_e that is a cat

Could be read “the set of x such that x is a cat.”¹

$x \in A$ x is a member of the set A

Note that $a \in \{\{a\}, b\}$ is false.

We generally use uppercase letters for sets and lowercase letters for individuals in D_e .

(1) $\text{Tama} \in \{x : x \text{ is a cat}\}$

(2) $\{x : x \text{ saw a picture of } x\}$

(3) $\{x : \text{this is semantics class}\}$

$A \cap B$ the intersection of A and B : $\{x : x \in A \text{ and } x \in B\}$

$A \cup B$ the union of A and B : $\{x : x \in A \text{ or } x \in B\}$

$B \setminus A$ the complement of A in B : $\{x : x \in B \text{ and } x \notin A\}$

$|A|$ the cardinality/size of A ; for example $|\{0, 1\}| = 2$

(4) a. $\text{Tama} \in \{x : x \text{ is a cat}\} \cap \{y : y \text{ is black}\}$

b. $\text{Tama} \in \{x : x \text{ is a cat and } y \text{ is black}\}$

c. $\text{Tama} \in \{x : x \text{ is a cat and } x \text{ is black}\}$

$A \subseteq B$ A is a subset of B ; every member of A is in B .

$A = B$ $A \subseteq B$ and $B \subseteq A$

¹IFS calls this “predicate notation.” Note that some people use a colon and write $\{x : x \text{ is a cat}\}$.

The sets above are all subsets of D_e , but we can also write sets of other things:

$$(5) \quad \{A : A \subseteq \{x : x \text{ is a cat}\}\}$$

What is in this set?

We can convince ourselves of certain mathematical facts, based on the definitions above:

- Claim: For any sets A and B , $A \setminus B \subseteq A$.

When is $A \setminus B = A$?

- Claim: For any sets A and B , $A \cap B \subseteq A \cup B$.

When is $A \cap B = A \cup B$?

2 Predicates as sets

- (6) a. Tama is a cat.
b. Tama is a black cat.

What is the meaning relationship between (6a) and (6b)? Is it an entailment or presupposition?

- (7) a. $A = \llbracket \text{cat} \rrbracket = \{x : x \text{ is a cat}\}$
b. $B = \llbracket \text{black cat} \rrbracket = \{x : x \text{ is black and } x \text{ is a cat}\}$

What is the relationship between A and B ?

Exercises

What is the relationship between the (a) and (b) sets?

- (8) a. $\{x : x \text{ has read two books}\}$ (9) a. $\{x : x \text{ is a student that has read two books}\}$
b. $\{x : x \text{ has read three books}\}$ b. $\{x : x \text{ is a student that has read three books}\}$

3 Predicates as functions

A *function* takes an element from one set (its *domain*) and returns an element from another set (its *range*). What are the domain and ranges for the following functions?

$$(10) \quad f(x) = x + 3$$

$$(11) \quad h(x) = x\text{'s mother}$$

$$(12) \quad g = \begin{bmatrix} 0 & \rightarrow & 1 \\ 1 & \rightarrow & 0 \end{bmatrix}$$

It will sometimes be useful to think of predicates as sets, and sometimes useful to think of predicates as functions, so we will go back and forth. Predicates as functions can be thought of as *characteristic functions*, which take an argument and check whether the argument is in a set or not:

(13) The characteristic function for set A :

$$\chi_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{otherwise} \end{cases}$$

We could also write this as:

$$(14) \quad \chi_A(x) = 1 \text{ iff } x \in A$$

In a model M with just four individuals $D_e = \{\text{Tama, Mitsi, Pochi, Fido}\}$, $\llbracket \text{cat} \rrbracket^M$ in function notation might be:

$$(15) \quad \llbracket \text{cat} \rrbracket^M = \begin{bmatrix} \text{Tama} & \rightarrow & 1 \\ \text{Mitsi} & \rightarrow & 1 \\ \text{Pochi} & \rightarrow & 0 \\ \text{Fido} & \rightarrow & 0 \end{bmatrix}$$

What is the *characteristic set* of this function?

4 Quantificational determiners

Consider quantifiers in subject position. What are the truth conditions of these sentences?

- (16) Every cat sleeps.
- (17) Some cat sleeps.
- (18) No cat sleeps.

Following an influential approach called *Generalized Quantifier Theory* (Barwise and Cooper, 1981), we will think about the meaning of quantifiers as relations between sets.

- (19) $\llbracket \text{Every cat sleeps} \rrbracket^M = 1$ iff $\llbracket \text{cat} \rrbracket^M \subseteq \llbracket \text{sleep} \rrbracket^M$
- (20) $\llbracket \text{Some cat sleeps} \rrbracket^M = 1$ iff $\llbracket \text{cat} \rrbracket^M \cap \llbracket \text{sleep} \rrbracket^M \neq \emptyset$
- (21) $\llbracket \text{No cat sleeps} \rrbracket^M = 1$ iff $\llbracket \text{cat} \rrbracket^M \cap \llbracket \text{sleep} \rrbracket^M = \emptyset$

Based on these results, we can define the general functions *every*, *some*, and *no*:

- (22) $every(A)(B) = 1$ iff $A \subseteq B$ returns the truth value for “Every A is B.”
- (23) $some(A)(B) = 1$ iff $A \cap B \neq \emptyset$ returns the truth value for “Some A is B.”
- (24) $no(A)(B) = 1$ iff $A \cap B = \emptyset$ returns the truth value for “No A is B.”

5 Downward entailment and NPIs

What is the meaning relationship between these pairs, which vary in the underlined positions?

- (25) a. Stephanie read two books. (26) a. I know that S. reads two books.
b. Stephanie read three books. b. I know that S. reads three books.
- (27) a. I doubt that Stephanie read two books. (28) a. If S. reads two books, she will pass.
b. I doubt that Stephanie read three books. b. If S. reads three books, she will pass.
- (29) A function f is *downward-entailing* (DE; or downward monotone) if and only if for all $A \subseteq B \subseteq D_e$, $f(B) \Rightarrow f(A)$.

Now consider noun phrases like *any books, anyone, anything*. These are called *negative polarity items* (NPIs). Contrasts like in (30) make it look like NPIs are sensitive to negation:

- (30) a. *Stephanie read any book(s).
b. *I know that Stephanie read any book(s).
c. I doubt that Stephanie read any book(s).
d. If Stephanie read any book(s), she will pass.

(31) NPIs are allowed in *downward-entailing* environments. (Ladusaw, 1979)

For quantificational determiners, we have to be clear whether we're looking at the first (A) argument or second (B) argument. Start with B arguments:

- (32) a. Every student has read two books. (34) a. Some student has read two books.
b. Every student has read three books. b. Some student has read three books.
(33) a. No student has read two books.
b. No student has read three books.
(35) a. *Every student has read any book(s).
b. No student has read any book(s).
c. *Some student has read any book(s).

Now consider the A arguments:

- (36) a. Every cat sleeps. (37) a. No cat sleeps. (38) a. Some cat sleeps.
b. Every black cat sleeps. b. No black cat sleeps. b. Some black cat sleeps.
(39) a. Every student that has read any book(s) will pass.
b. No student that has read any book(s) will pass.
c. *Some student that has read any book(s) will pass.

References

- Barwise, Jon, and Robin Cooper. 1981. Generalized quantifiers and natural language. *Linguistics and Philosophy* 4:159–219.
- Ladusaw, William A. 1979. Polarity sensitivity as inherent scope relations. Doctoral Dissertation, University of Texas at Austin.