

# Subjects

## 1 Five common properties of subjects

1. Controls subject agreement (in English and many other lgs)

2. In nominative case (in English and many other lgs)

- (1) **They** are sleeping.
- (2) **I** am sleeping.
- (3) \*You **am** accusing **me**.

...but not always:

- (4) I saw [**him** open the door].

3. Every clause has one. (in English and many other lgs)

We call this the *Extra-Peripheral Position* requirement (EPP).<sup>1</sup>

- (5) a. **It** will rain.
- b. \*The weather will rain.

We call nouns like *it* in (5a) which do not receive theta-roles *expletives*.

4. Reflexives only allow subject antecedents. (in Mandarin and many other lgs)

- (6) Zhang San<sub>i</sub> yijing tongzhi Li Si<sub>j</sub> ziji<sub>i/\*j</sub>-de fenshu le.  
Zhang San already inform Li Si self-GEN grade LE  
'Zhangsan<sub>i</sub> already told Lisi<sub>j</sub> his<sub>i/\*j</sub> grade.'  
(Huang et al., 2009: 337)

5. Often are more agentive; doing the action. But not always: see (5) but also passives (7) and experiencer subjects (8):

- (7) **John** was hit (by a car).
- (8) **John** will feel old.

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<sup>1</sup>Classically, this is called the *Extended Projection Principle* (EPP) requirement, but I adopt the term *Extra-Peripheral Position* as it is more transparent.

## 2 T and the EPP

What exactly is the EPP? It's certainly not a requirement that a verb have an agent (see e.g. (5)).

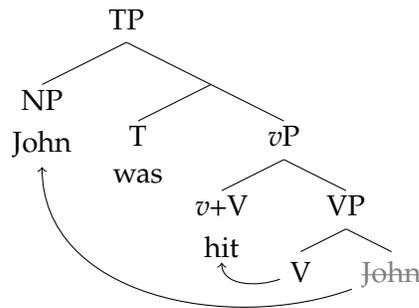
**Idea:** Subjects are an obligatory specifier of a projection headed by auxiliaries (*do, will, can, have, be, etc.*). Call this T for tense. (Sometimes T is not pronounced... more on that later.)

(9) **Hierarchy of projections (updated):**

Every clause has  $T > v > V$ .

But we also want to preserve UTAH: for example, some subjects are themes (7), not agents, and we want them to be Merged as complements to V.

(10)



Unlike head movement, here we are moving a phrase (NP): call this *phrasal movement*.

(11) **Move<sub>phrase</sub>( $\alpha, \beta$ ):**

(read: 'move  $\beta$  to  $\alpha$ 's specifier' or ' $\alpha$  attracts  $\beta$ ')

If  $\alpha$  dominates a maximum projection  $\beta$ ,  $\alpha$  and  $\beta$  share a feature F, and F is *strong* (marked F\*) on  $\alpha$  or  $\beta$  or both, then

- check the strong features F\* on  $\alpha$  and/or  $\beta$ :  $\bar{F}^*$ ;
- mark  $\beta$  in  $\alpha$  as deleted:  $\bar{\beta}$  (call this a *trace*, often indicated by *t*); and
- return  $\gamma$  where the label  $\gamma = \alpha$ .



(12) **Extra-Peripheral Position (EPP):**

T has a strong uninterpretable N feature: [uN\*].

**Exercise:** Give the lexical items and the order of Merge and Move<sub>phrase</sub> steps to build (10):

**Lexicon:**

• John =

1.

• was =

2.

3. head-move V to *v* (unmotivated for now)

• *v* =

4.

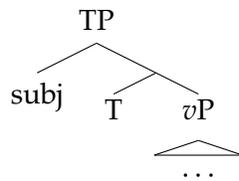
• hit =

5.

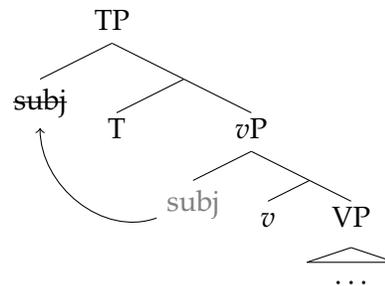
### 3 The VP-internal subject hypothesis and three arguments

Two approaches to (agentive) subjects:

(13) a.



b.



The idea that all subjects start within *vP* and move to Spec,TP (specifier of TP) is called the *VP-internal subject hypothesis*.<sup>2</sup>

See McCloskey 1997 for history and more details on the following arguments.

<sup>2</sup>Warning: “VP” here refers to the idea of a lower verbal projection; strictly speaking, the subject in (b) originated in *vP*, not VP.

1. Quantifier float (stranding):

A quantifier can be “stranded” in lower positions.

- (14) a. *All* the dragons are drinking wine.  
b. The dragons are *all* drinking wine.

Hypothesis: the stranded *all* in (b) reflects an earlier position for the NP *all the dragons* from which *the dragons* moved.

2. Transitive expletive constructions:

In some languages, expletives can satisfy the EPP, leaving an indefinite subject lower:

(15) **Transitive expletives in Germanic (Dutch; Koster and Zwart, 2000):**

- a. *Er* heeft iemand een huis gekocht.  
there has someone a house bought  
'Someone bought a house.'
- b. *Er* danste iemand.  
there danced someone  
'Someone danced.'

It is important for this argument that the subjects in both (15a) and (15b) are agents.

3. Coordinating actives and passives:

*First, a minor detour...*

- (16) *What* did you devour \_\_\_ last night?  
(17) *John* was arrested \_\_\_ last night.  
(18) a. \* *What* did John eat [[an apple] and [\_\_\_]]?  
b. \* *The newspaper*, [[John read \_\_\_] and [Mary read a book]].

What's the generalization here? (Notice that conjunction always takes two conjuncts of the same size: NP & NP, TP & TP, etc.)

(19) **The Coordinate Structure Constraint (CSC)** (Ross, 1967)

In a coordinate structure [= conjunction], no conjunct may be moved, nor may any element contained in a conjunct be moved out of that conjunct.

(20) **Apparent counterexamples to the CSC:**

- a. *Who* does [[John like \_\_\_] and [Mary hate \_\_\_]]?
- b. *What furniture* did you say we [[need to buy \_\_\_] but [can't afford \_\_\_]]?

The examples in (20) illustrate a systematic counterexample to the CSC: *Across The Board (ATB) movement* of a single constituent from both conjuncts at the same time does not violate the CSC.

*Now consider:*

- (21) ✓ John will close the deal and be promoted.
- (22) ✓ At least one person will confess and be arrested.

Consider the hypotheses in (13). Both hypotheses allow for conjunction of two active *v*Ps and conjunction of two passive *v*Ps. But only hypothesis (13b) predicts that we can coordinate an active *v*P and a passive *v*P.

## References

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